

# (Post-quantum) cryptography

## Security models, proofs and generic transforms

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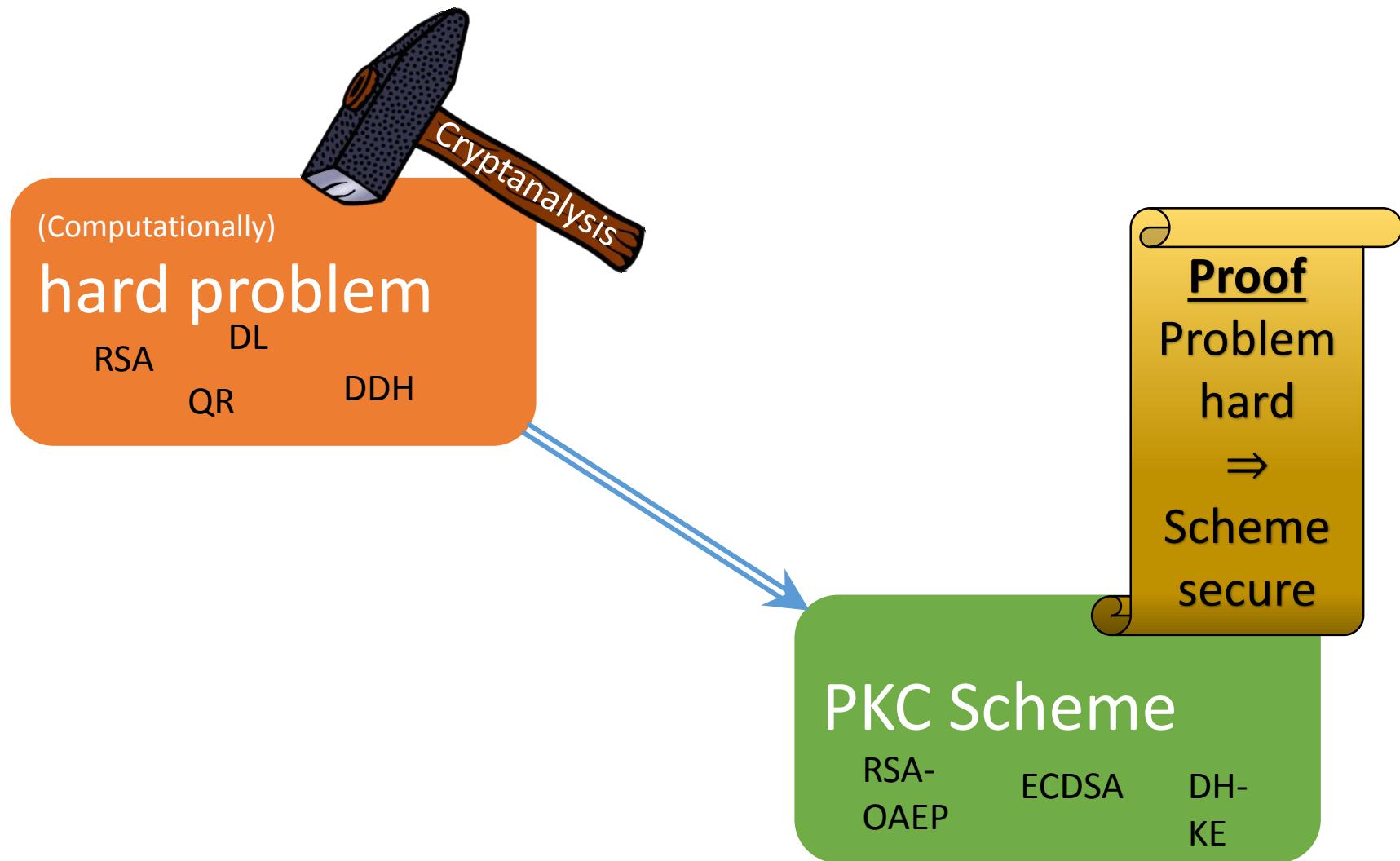
# Evaluation criteria for crypto

- Performance
  - Speed
  - Size
- Cryptanalysis
  - Maturity, quantity & quality
  - Are the relevant problems analyzed
- Provable security
  - Standard model vs. (Q)ROM
  - Tight vs non-tight reduction
- Implementation security
  - Possibility / availability of protected implementations



Picture: By Rizkyharis - Own work, CC BY-SA 4.0, <https://commons.wikimedia.org/w/index.php?curid=52757933>

# How to build PKC



# Security proofs

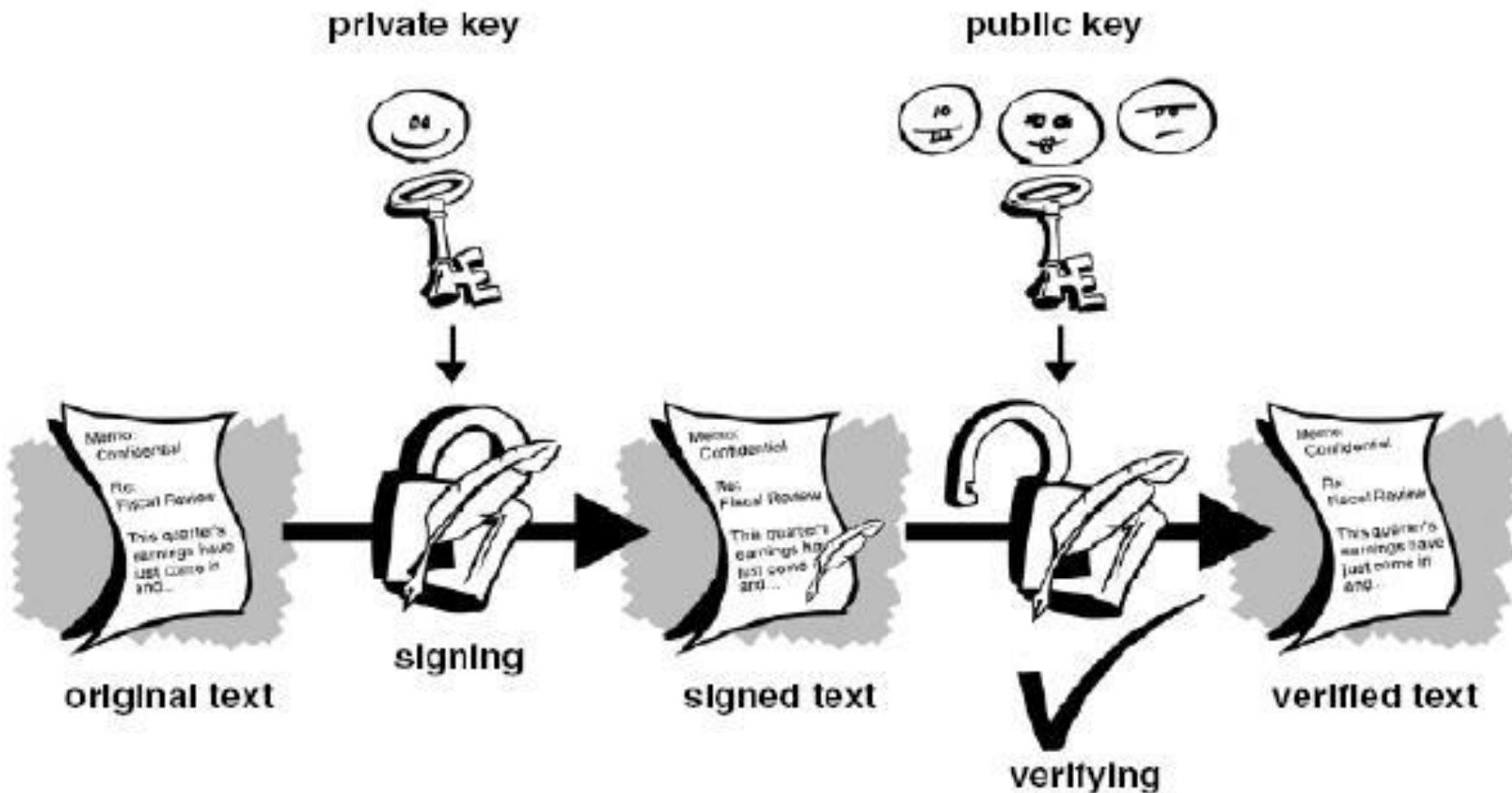
(for the example of digital signatures)

# Security proofs

## Four dimensions

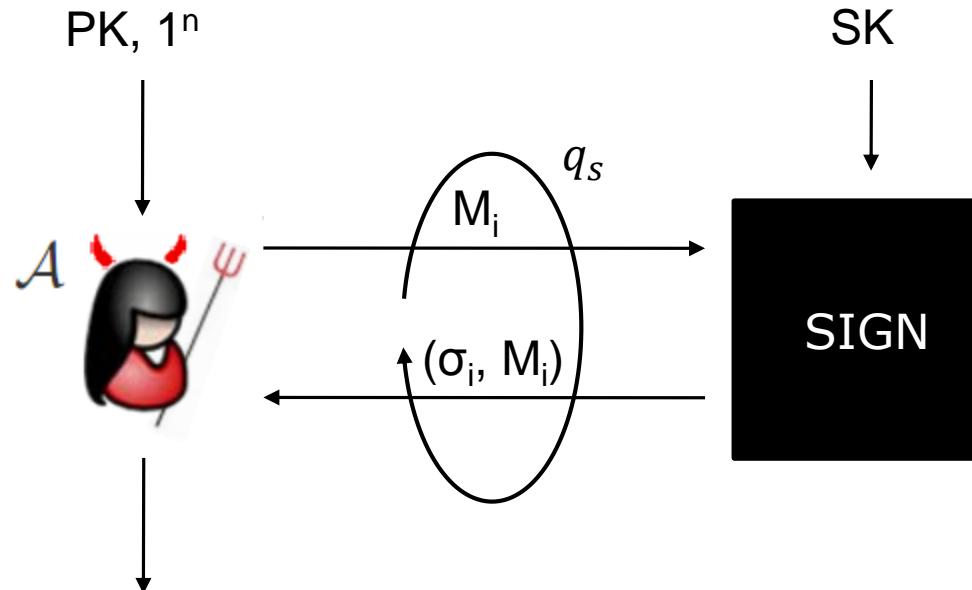
- What are we looking at?
  - Signature scheme? MAC? Key evolving signatures...
  - Determines functionality
- What do we want to prove?
  - EU-CMA, SU-CMA, FS-EU-CMA, EU-RMA...
  - Determines security guarantee
- In which model can we give the proof?
  - Standard model vs ROM vs QROM
  - Heuristic model or not
- Can we get a tight proof?
  - Reduction loss
  - Does proof say something for concrete parameters

# Digital Signature



Source: <http://hari-cio-8a.blog.ugm.ac.id/files/2013/03/DSA.jpg>

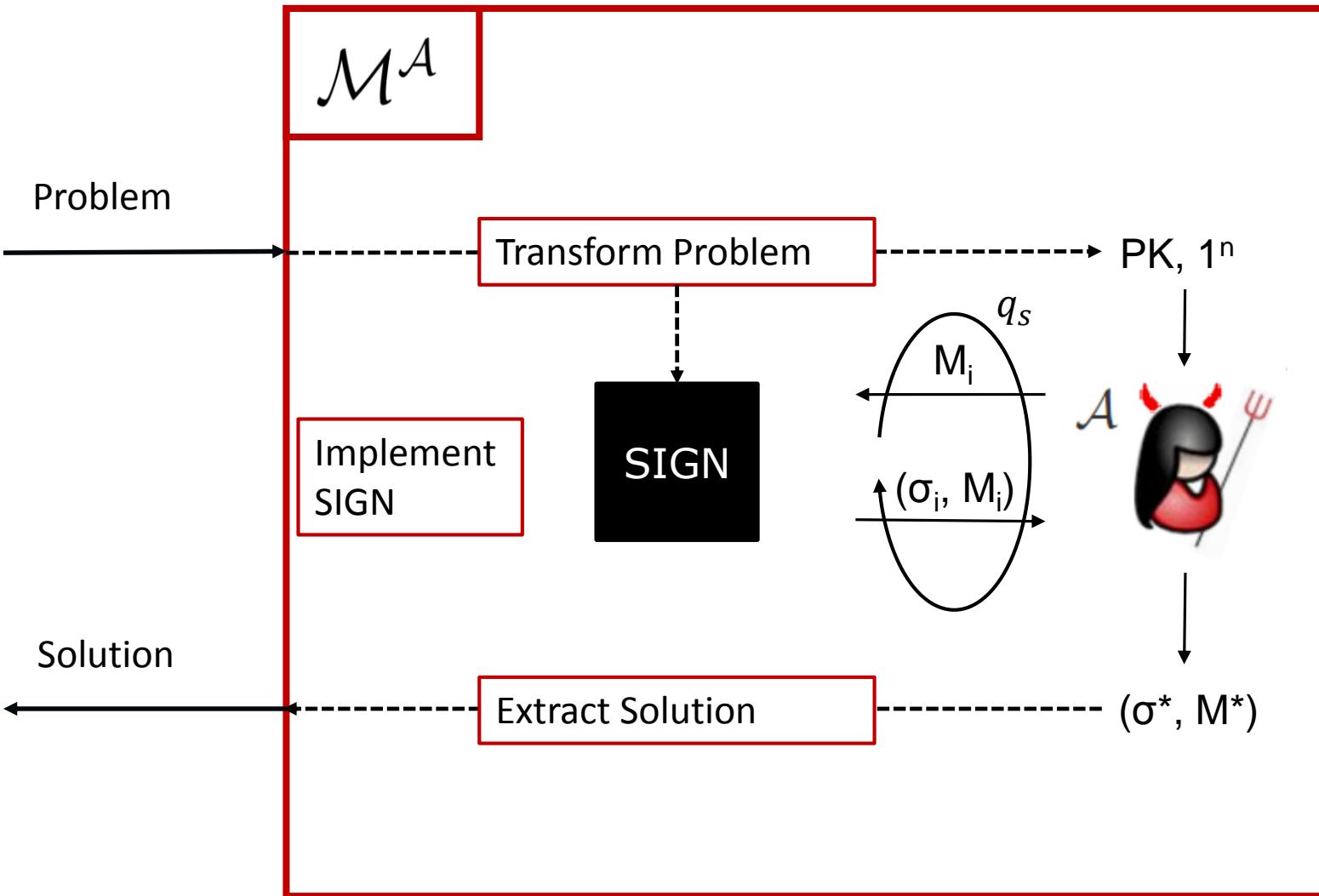
# Existential unforgeability under adaptive chosen message attacks



$(\sigma^*, M^*)$

Success if  $M^* \neq M_i, \forall i \in [0, q]$  and  
 $\text{Verify}(pk, \sigma^*, M^*) = \text{Accept}$

# Reduction



# Reduction: Implications

To prove:

*„If  $A$  can break [security] of [scheme] in time  $t$  with probability  $\varepsilon$  then  $M^A$  can solve [problem] in time  $f_1(t)$  with probability  $f_2(\varepsilon)$ .“*

Implications:

1. If  $f_1, f_2$  are polynomial functions (*non-tight*):  
*“If [problem] is hard, [scheme] is secure.”*
2. If  $f_1, f_2$  are close to identity (*tight*):  
*“The [scheme] is as hard to break as the problem.”*

# Standard model vs. idealized model

Standard model:

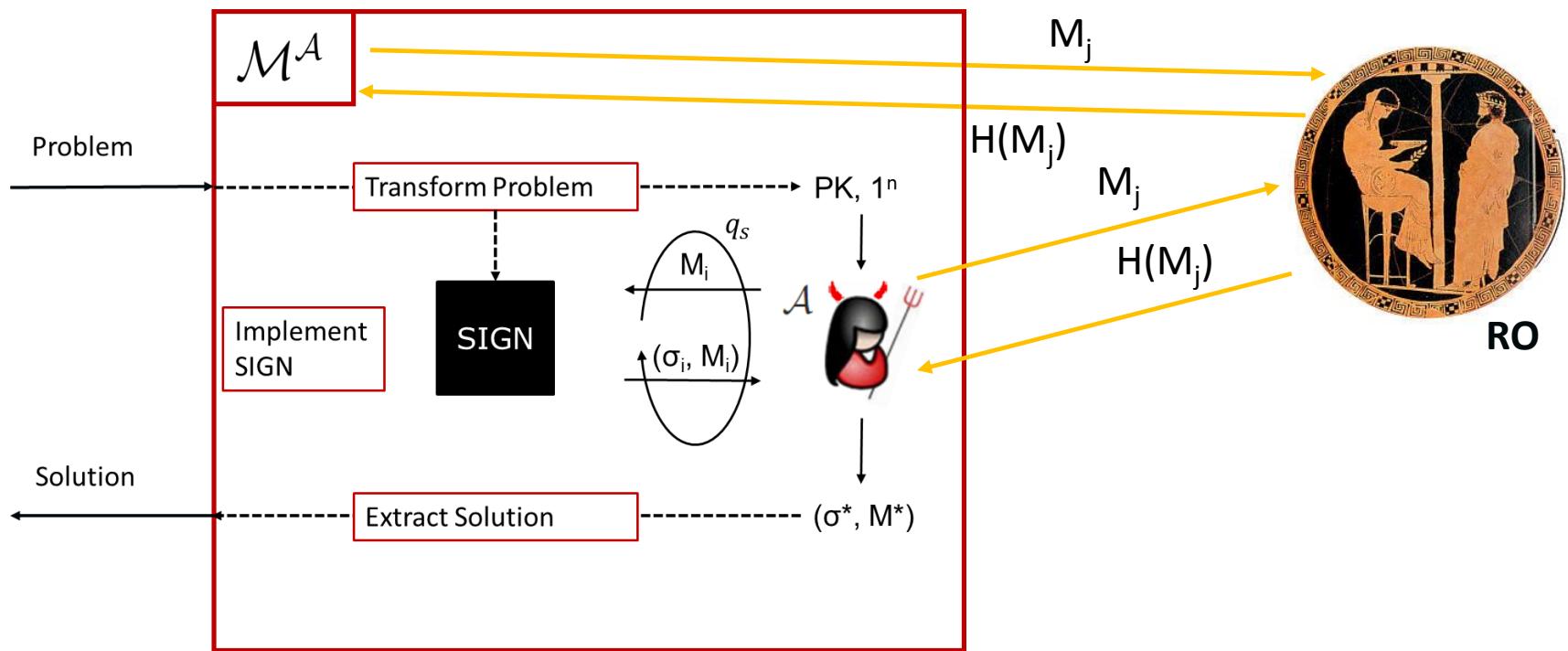
Assume building block has property P (e.g., collision resistance). Use property in reduction.

Idealized model:

Assume a building block behaves perfectly (e.g. hash function behaves like truly random function).  
Replace building block by an oracle in reduction.

# Random Oracle Model (ROM)

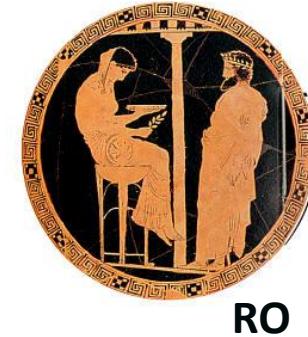
- Idealized Model
- Perfectly Random Function



# How to implement RO? (In theory)

”Lazy Sampling”:

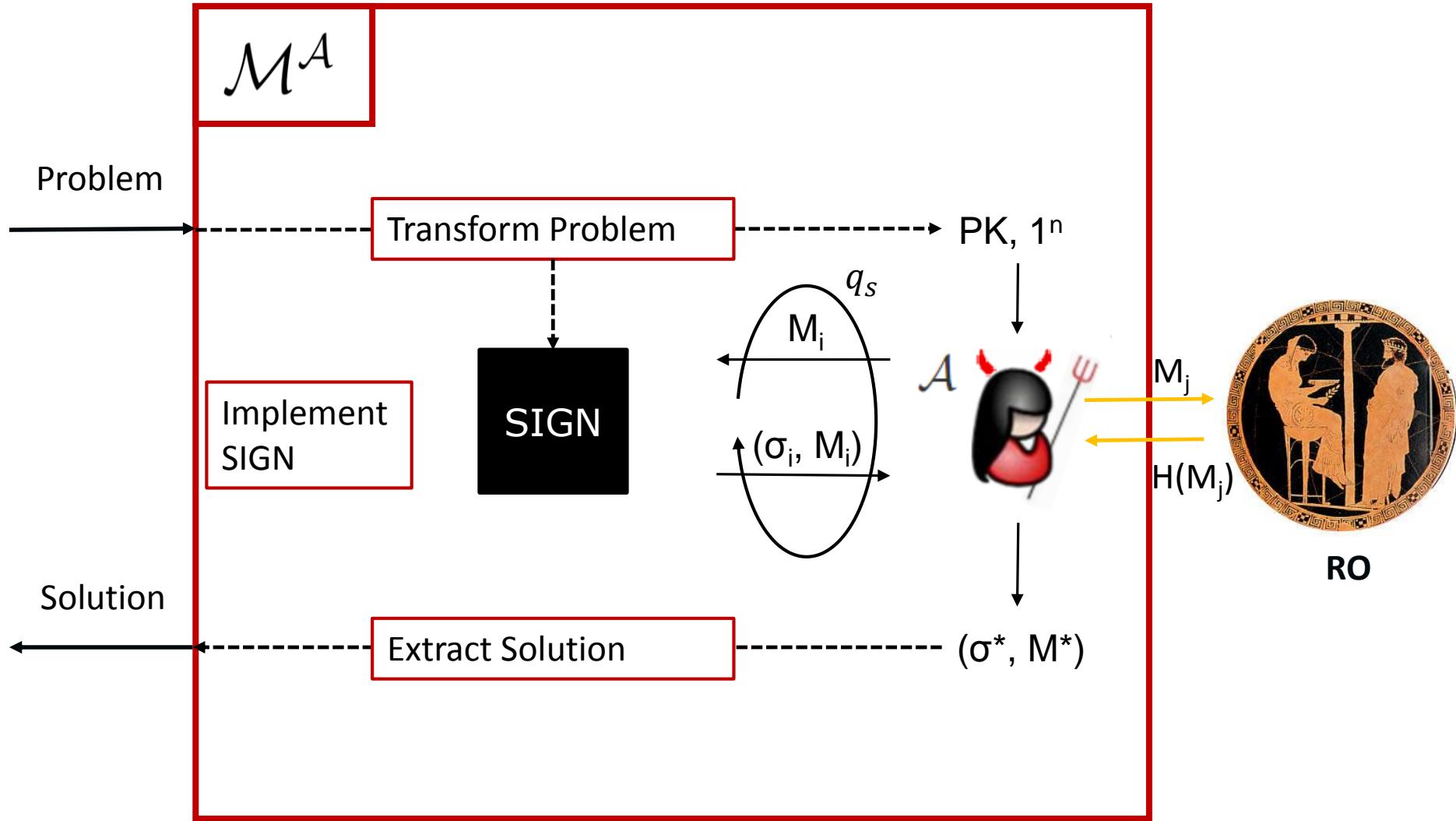
1. Keep list of  $(x_i, y_i)$
2. Given  $M_j$ , search for  $x_i = M_j$
3. If  $x_i = M_j$  exists, return  $y_i$
4. Else sample new  $y$  from Range,  
using uniform distribution
5. Add  $(M_j, y)$  to table
6. Return  $y$



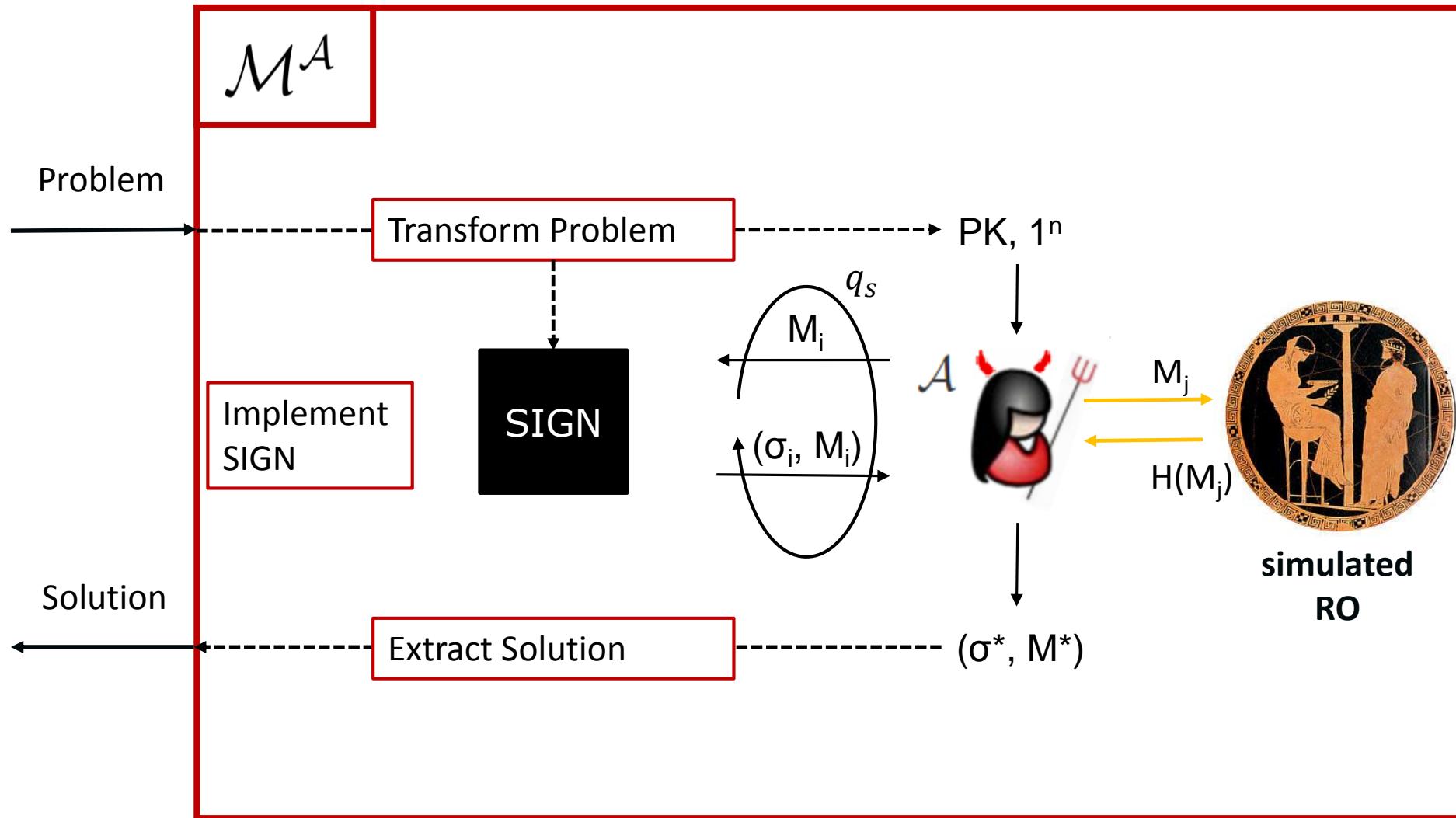
# ROM security

- Take scheme that uses cryptographic hash
- For proof, replace hash by RO
  - Different flavors:  
Random function vs. Programmable RO

# Programmable RO



# Programmable RO



# ROM security

- Take scheme that uses cryptographic hash
  - For proof, replace hash by RO
    - Different flavors:  
Random function vs. Programmable RO
- Heuristic security argument
- Allows to verify construction
- Worked for “Natural schemes” so far
- However: Artificial counter examples exist!
- Random oracles do not exist!

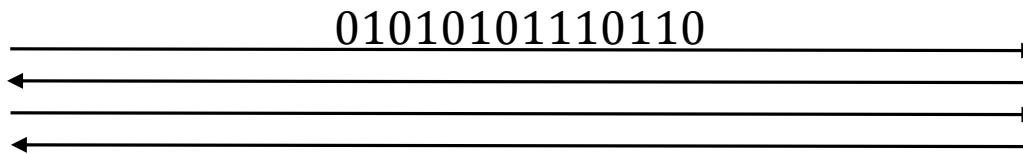
# Quantum security worlds

[Gagliardoni'17]

# QS0: Classical security



11010101  
01110110



11000101  
00010110



11010101  
01110110

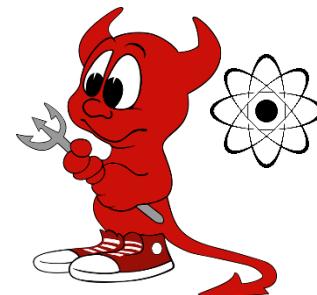
# QS1: Post-quantum security



11010101  
01110110

01010101110110

A horizontal line with four arrows pointing from right to left, representing data transmission from Bob to Alice. Above the line is the binary sequence "01010101110110".



$|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle$   
 $|1\rangle|1\rangle|1\rangle|0\rangle|1\rangle|1\rangle|0\rangle$



11010101  
01110110

# QS1: Post-quantum security

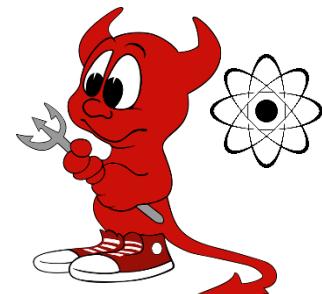
- Adversary can run local quantum computations
- Adversary cannot communicate with honest parties using quantum states!
  - Local interfaces & oracles that do not contain secret information:  
Quantum access
  - Remote interfaces & secretly keyed oracles:  
Classical access

# QS2: Quantum security



$|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle$   
 $|1\rangle|1\rangle|1\rangle|0\rangle|1\rangle|1\rangle|0\rangle$

$|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle|1\rangle|1\rangle|1\rangle|0\rangle|1\rangle|1\rangle|0\rangle$



$|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle$   
 $|1\rangle|1\rangle|1\rangle|0\rangle|1\rangle|1\rangle|0\rangle$



$|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle$   
 $|1\rangle|1\rangle|1\rangle|0\rangle|1\rangle|1\rangle|0\rangle$

# QS2: Quantum security

- Adversary can run local quantum computations
- Adversary can communicate with honest parties using quantum states!
  - Local interfaces & oracles that do not contain secret information:  
Quantum access
  - Remote interfaces & secretly keyed oracles:  
Quantum access
- This assumes a world where users have quantum computers

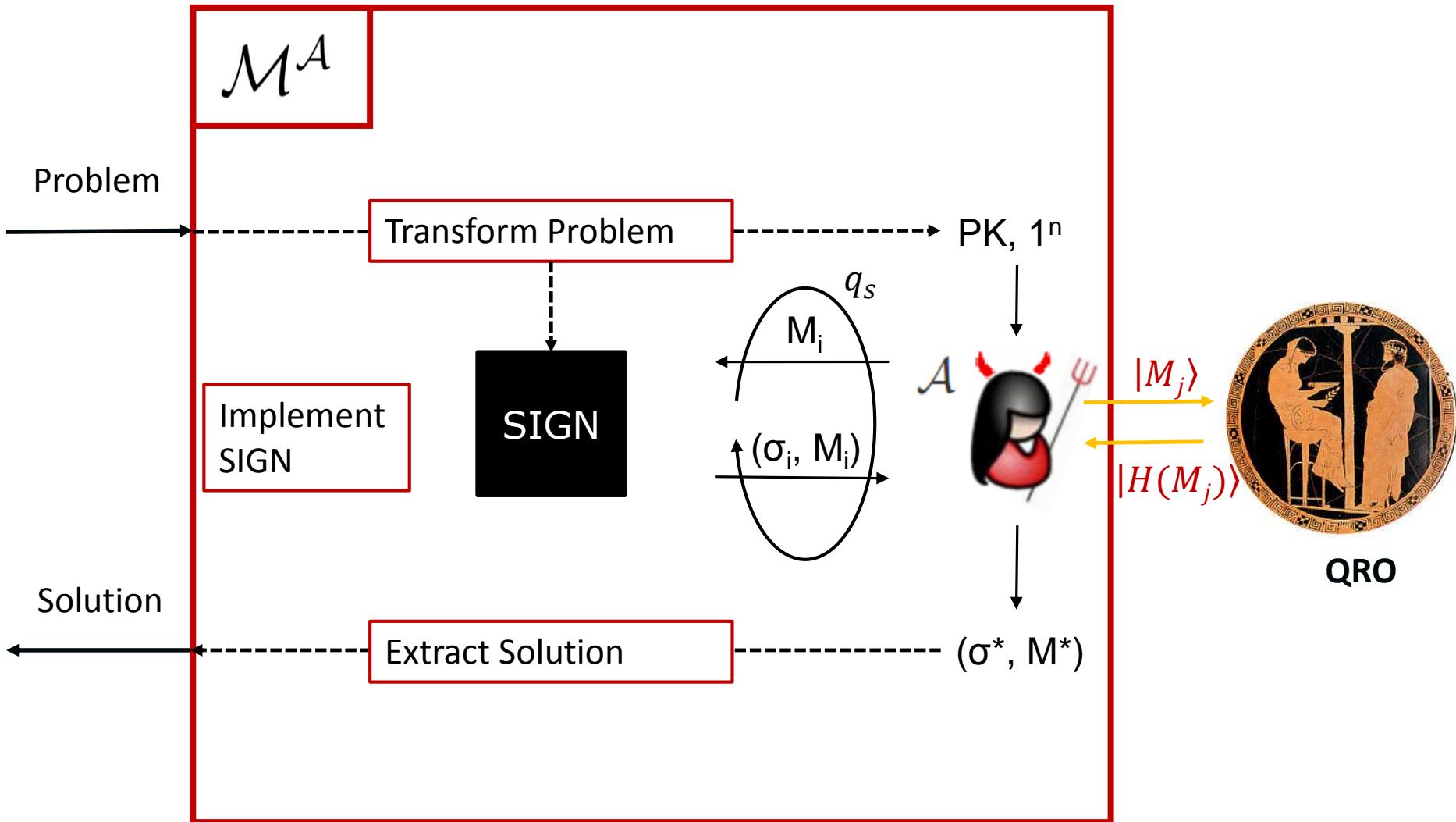
We care about QS1 for  
practical applications in  
the foreseeable future!!!

# QS1: Post-quantum security

- Adversary can run local quantum computations
- Adversary cannot communicate with honest parties using quantum states!
  - **Local interfaces & oracles that do not contain secret information:**  
**Quantum access**
  - Remote interfaces & secretly keyed oracles:  
Classical access

**What happens in ROM?**

# Quantum-accessible ROM (QROM)



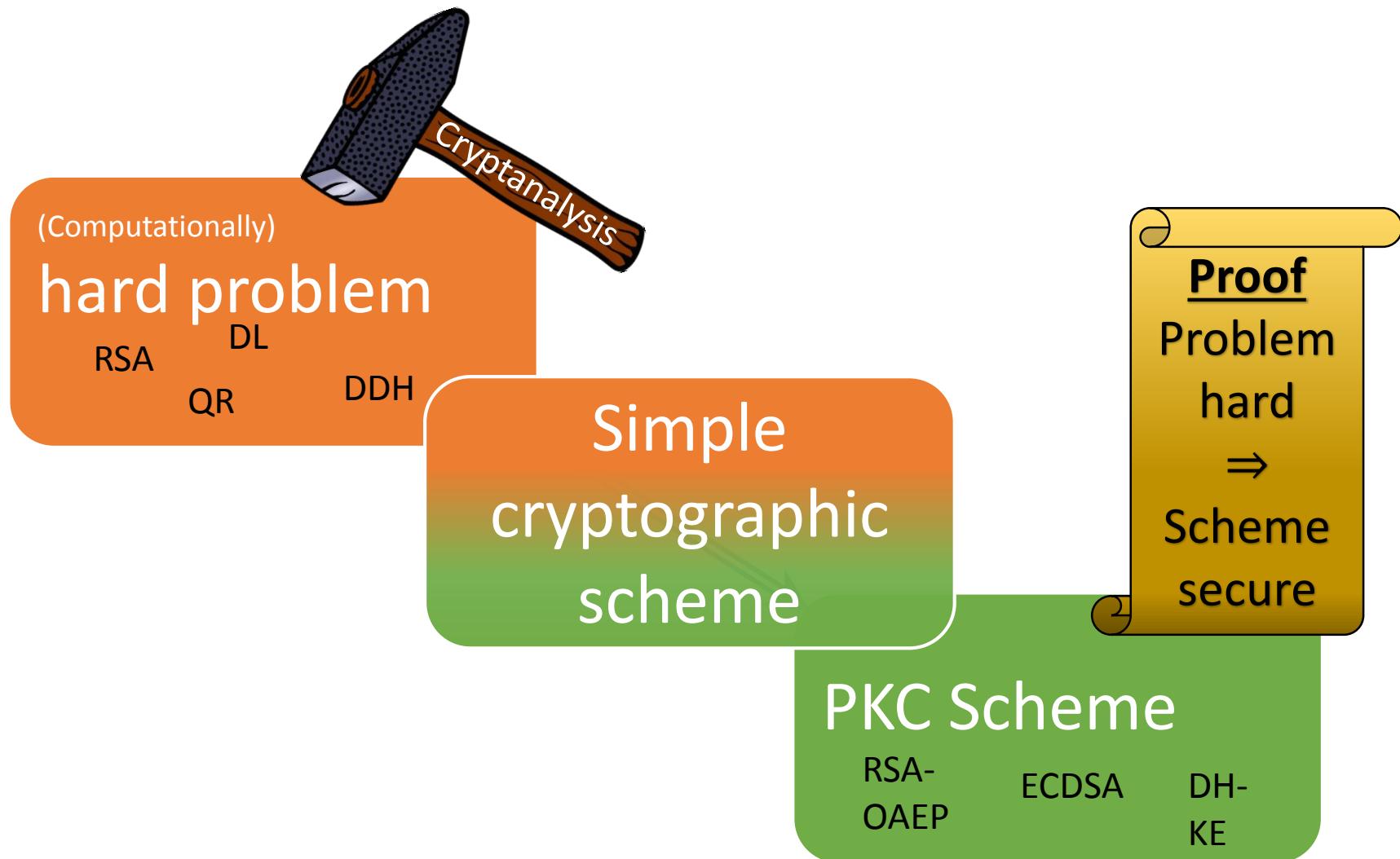
# The QROM

- ROM „lives“ in QS0. Wrong model for post-quantum security!
- QROM: Adaption of ROM for QS1 – post-quantum security.
- Proofs in QROM more involved as „looking at queries“ disturbs adversary -> complicates adaptive behavior
- Only weak separation known (using squareroot speed-up of Grover)

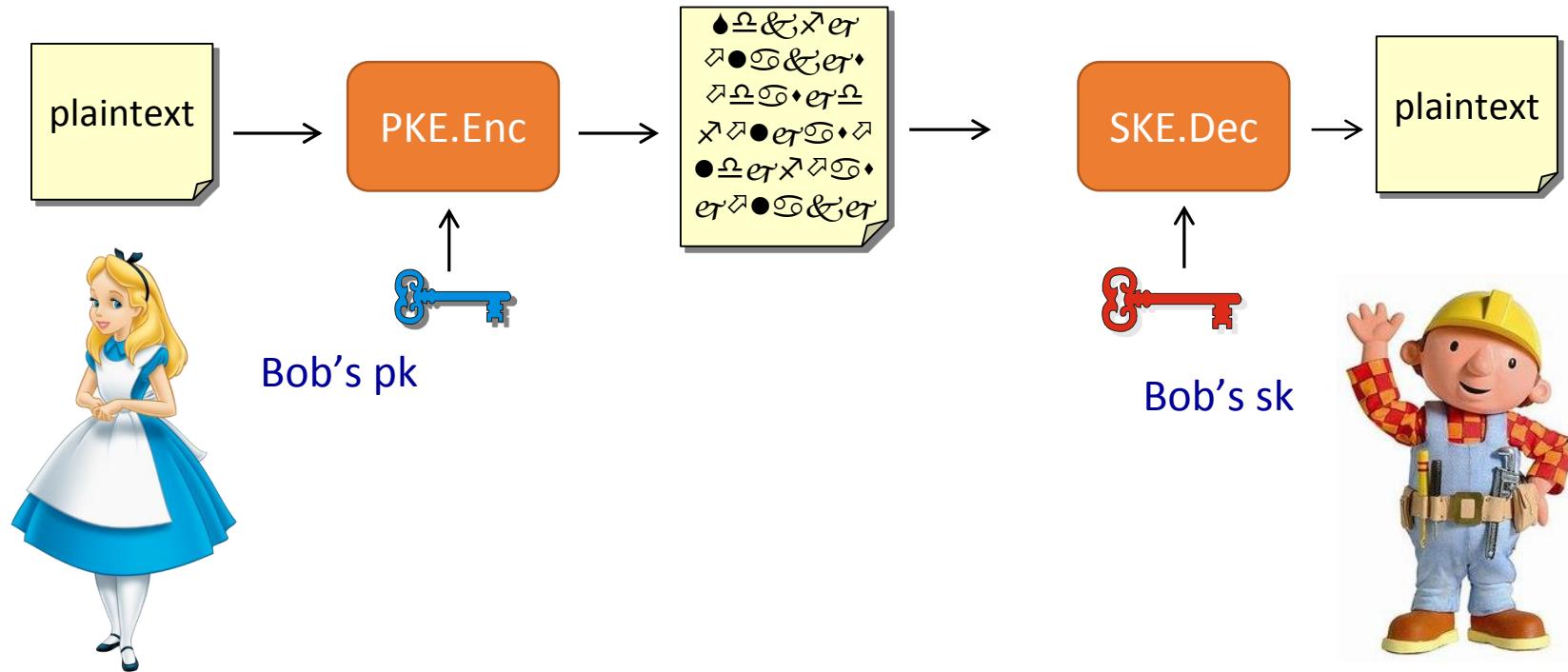
# Generic transforms

(for the example of KEM construction)

# How to build PKC



# Public key encryption (PKE)



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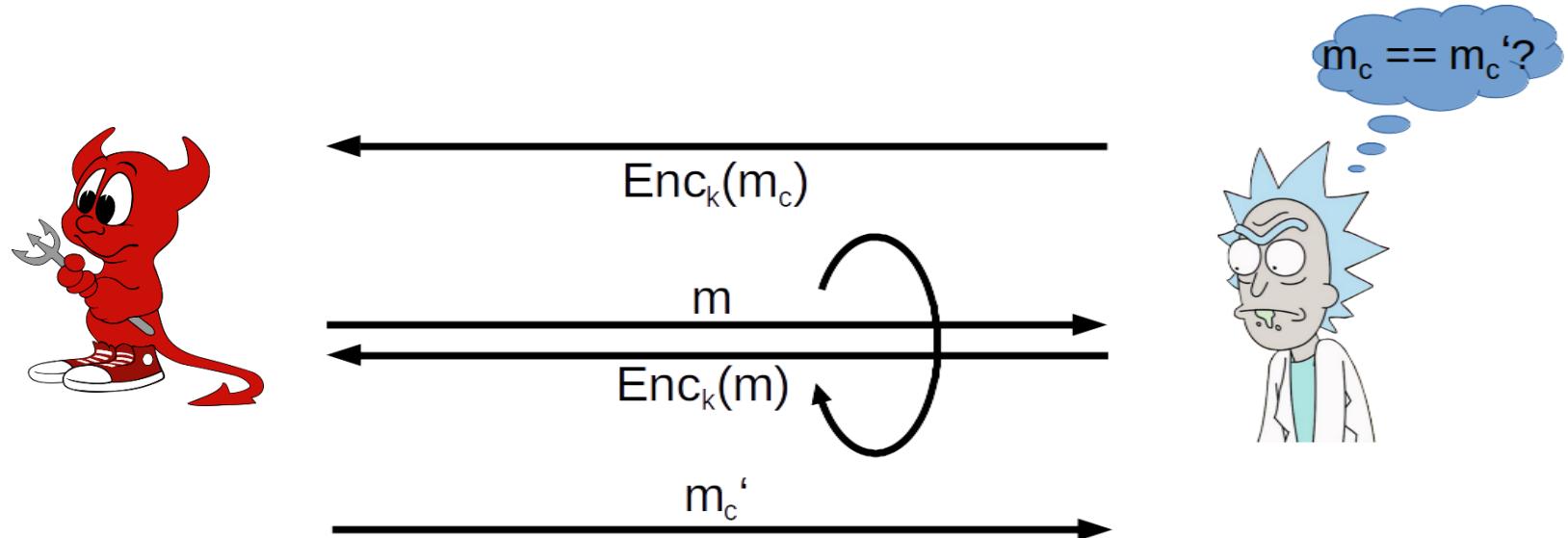
$\text{Gen}(1^n)$ : is a probabilistic algorithm that outputs a key pair  $(sk, pk)$ .

$\text{Enc}(pk, m)$ : is a possibly probabilistic algorithm that on input of a public key and a message outputs a ciphertext  $c = \text{Enc}_{pk}(m)$ .

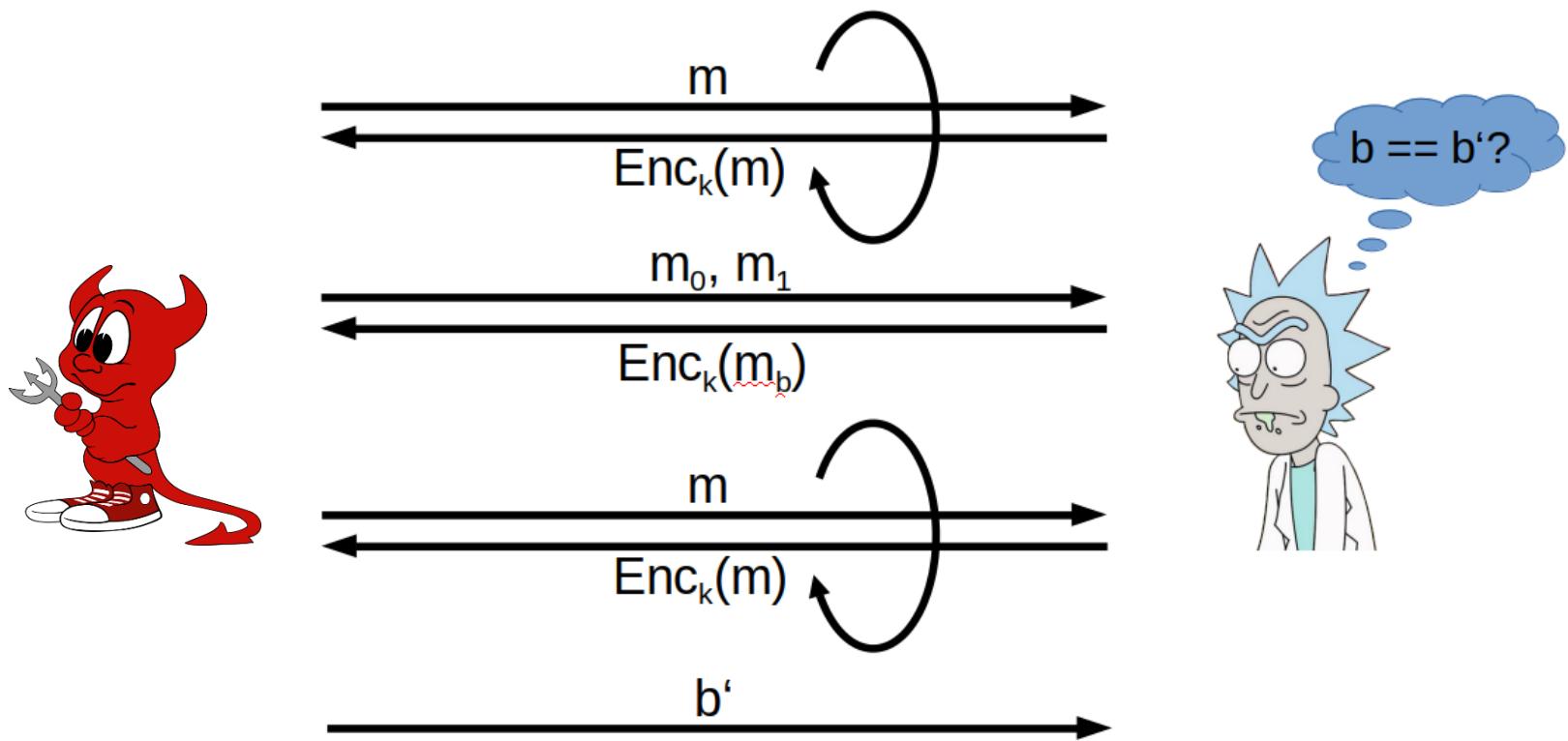
$\text{Dec}(sk, c)$ : is a deterministic algorithm that on input of a secret key and a ciphertext outputs a plaintext  $m' = \text{Dec}_{sk}(c)$ .

Correctness:  $(\forall m \in M, (sk, pk) \in \text{Gen}(1^n)):$   
 $\text{Dec}_{sk}(\text{Enc}_{pk}(m)) = m$

# Weak passiv security (OW-CPA)



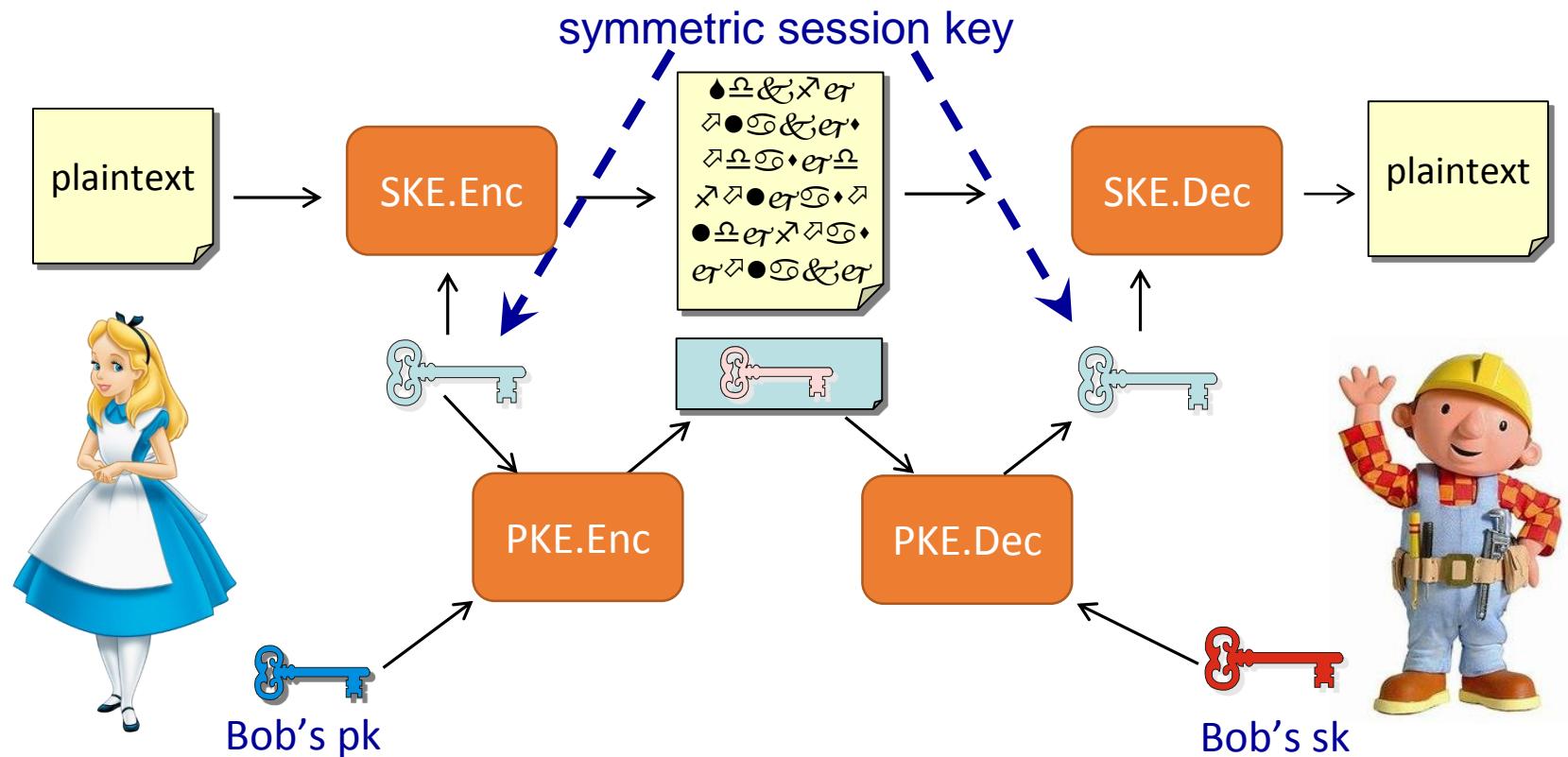
# Strong passiv security (IND-CPA)



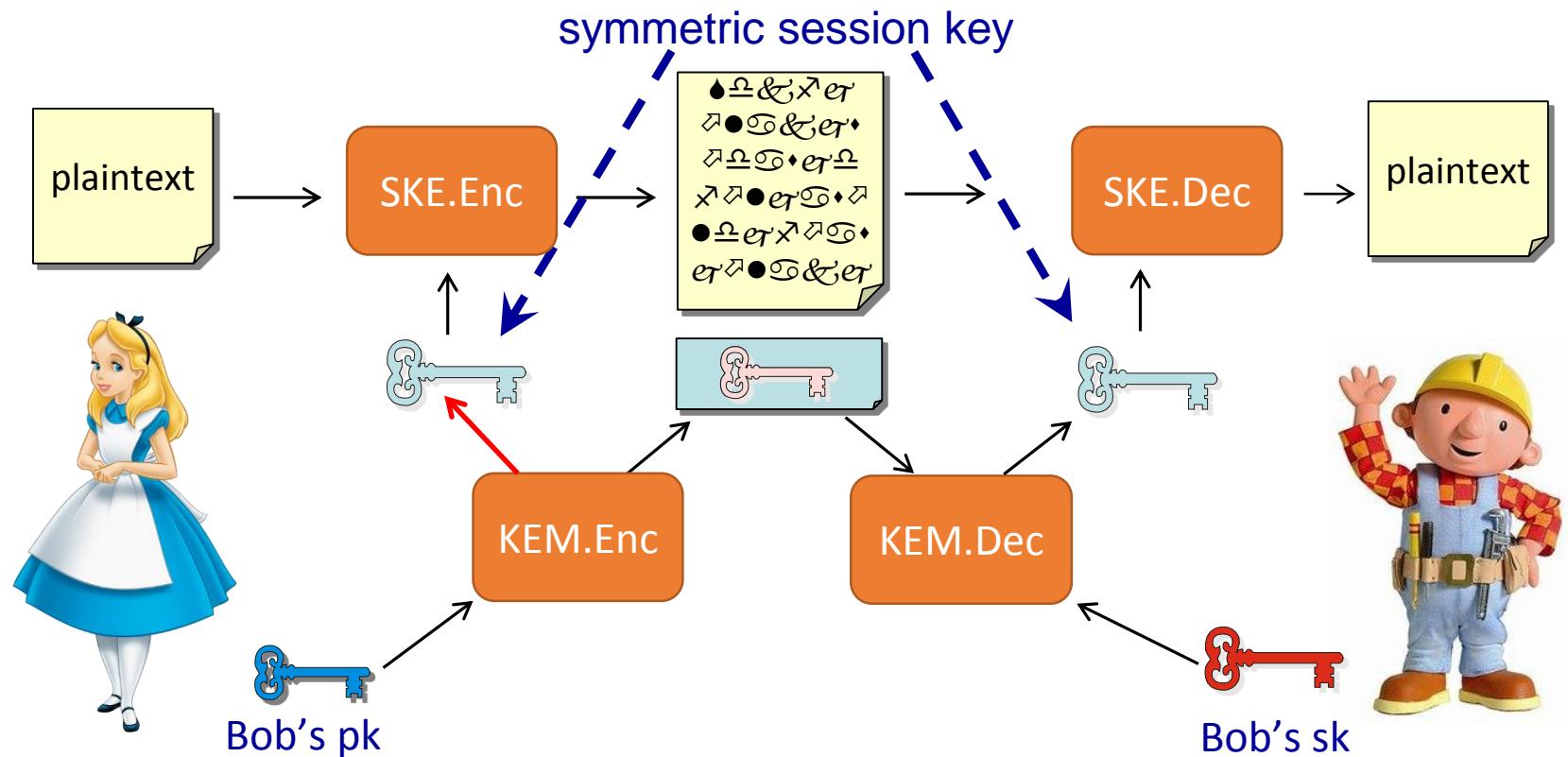
# Active security (IND-CCA)

- IND-CPA + access to decryption oracle
- Decryption query for challenge ciphertext forbidden
- Necessary if scheme gets used with non-ephemeral keys!
- In practice, binary response (valid / invalid ciphertext) can be sufficient for attack.

# Hybrid encryption



# Key encapsulation (KEM)



# Key encapsulation (KEM)

$\text{Gen}(1^n)$ : is a probabilistic algorithm that outputs a key pair  $(sk, pk)$ .

$\text{Enc}(pk, m)$ : is a possibly probabilistic algorithm that on input of a public key outputs a session key  $k$  and an encapsulation  $(k, c) = \text{Enc}_{pk}()$ .

$\text{Dec}(sk, c)$ : is a deterministic algorithm that on input of a secret key and an encapsulation outputs a session key  $k' = \text{Dec}_{sk}(c)$ .

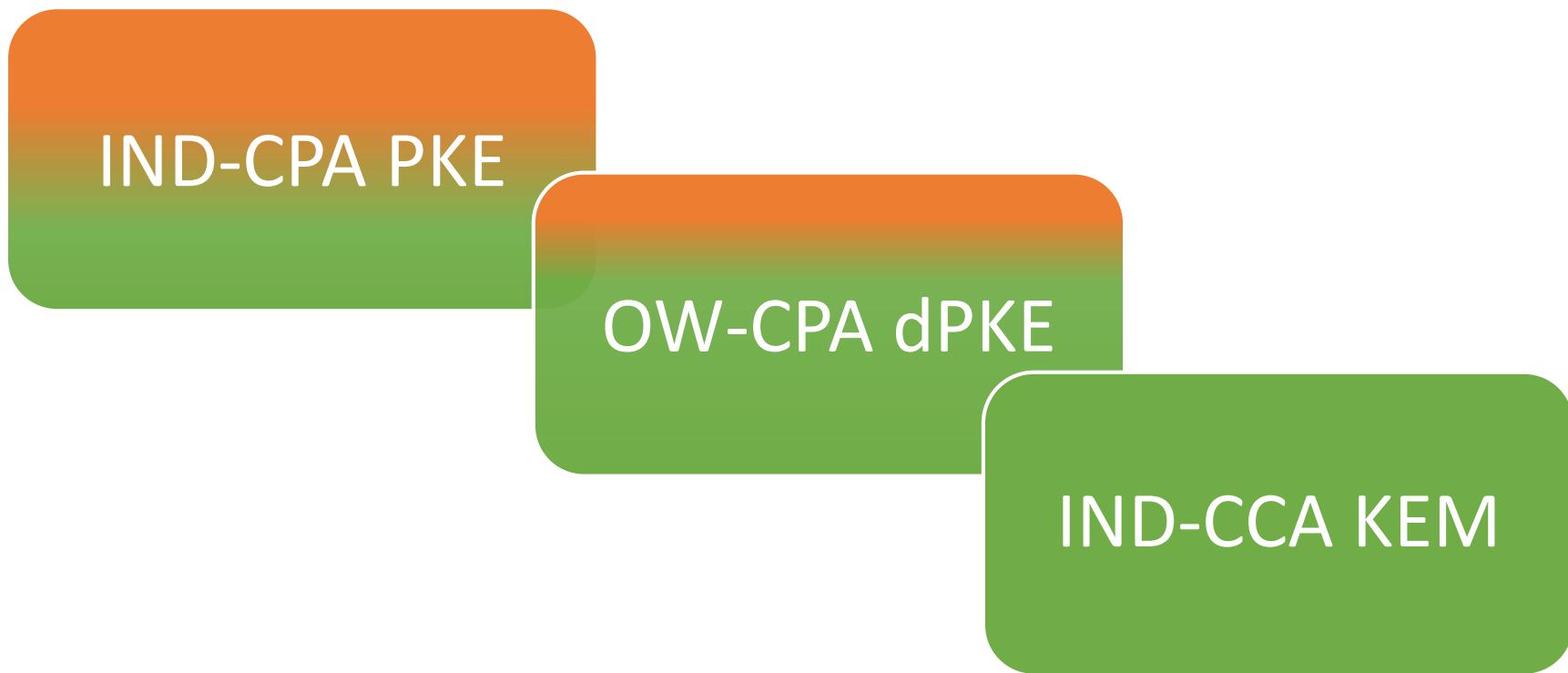
Correctness:  $(\forall (sk, pk) \in \text{Gen}(1^n)):$   
 $(k, c) = \text{Enc}_{pk}(\quad) \Rightarrow k = \text{Dec}_{sk}(c)$

# IND security models for KEM

- IND:
  - Given a pair  $(c, k)$  decide if  $c$  is encapsulation of  $k$  or random
- CPA:
  - Adversary gets  $pk$  and can hence generate encapsulations
- CCA:
  - Adversary gets access to decapsulation oracle (which returns  $\perp$  for challenge encapsulation)

# IND-CPA PKE $\rightarrow$ IND-CCA KEM

- Generic transform essentially going back to Fujisaki and Okamoto, CRYPTO'99



# IND-CPA PKE $\rightarrow$ OW-CPA dPKE

## T-Transform [HHK17]

Start from IND-CPA secure PKE = (Gen, Enc, Dec) with probabilistic Enc and a hash function H. Build OW-CPA secure

$$\text{PKE}' = (\text{Gen}, \text{Enc}', \text{Dec}) = T(\text{PKE}, H)$$

with deterministic Enc':

$$\text{Enc}'_{pk}(m) = \text{Enc}_{pk}(m; H(m))$$

↑  
Randomness

- Tight security reduction in ROM
- Non-tight security reduction in QROM

# OW-CPA dPKE $\rightarrow$ IND-CCA KEM $U^{\mathcal{L}}$ -Transform [HHK17]

Start from OW-CPA secure dPKE  $P = (\text{Gen}, \text{Enc}, \text{Dec})$ , PRF  $F$ , and hash function  $H$ . Build IND-CCA secure KEM  $U^{\mathcal{L}}(P, F, H)$ :

---

Keygen():

```
1 (pk, skP) ← KeygenP()  
2 prfk ←  $\mathcal{K}_F$   
3 sk ← (skP, prfk)  
4 return (pk, sk)
```

Encaps(pk):

```
1  $m \xleftarrow{\$} \mathcal{M}$   
2  $c \leftarrow \text{Encr}(pk, m)$   
3  $K \leftarrow H(m, c)$   
4 return ( $K, c$ )
```

Decaps(sk, c):

```
1 parse sk = (skP, prfk)  
2  $m' \leftarrow \text{Decr}(sk_P, c)$   
3 if  $m' = \perp$ :  
4   return  $F(prfk, c)$   
5 else if  $\text{Encr}(pk, m') \neq c$ :  
6   return  $F(prfk, c)$   
7 else: return  $H(m', c)$ 
```

---

**Fig. 3.** Transform  $U^{\mathcal{L}}(P, F) := (\text{Keygen}, \text{Encaps}, \text{Decaps})$ .

# Summary

- Security proof  $\neq$  security proof
  - Only tight proofs say something about the security of parameters
  - Only standard model & QROM proofs say something about post-quantum security
- Generic transforms can save you a lot of work
  - Sufficient to construct OW-CPA dPKE for IND-CCA KEM with tight QROM proof
  - Sufficient to construct IND-CPA PKE for IND-CCA KEM with QROM proof

# Thank you! Questions?

